PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Jesse Shan

Application No.: New Application

Filed: Herewith

For: TRIANGLE-SHAPED PLANAR
OPTICAL WAVEGUIDE HAVING
REDUCED SCATTERING LOSS

Assistant Commissioner for Patents Washington, D.C. 20231

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned



Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

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has been made and is not to be construed as an admission that the information

cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

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	A sta	A statement pursuant to 37 C.F.R. §1.97(e) or			
•	A che	eck for \$240.00 for the fee under 37 C.F.R. § 1.17(p).			
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	(1)	A statement pursuant to 37 C.F.R. §1.97(e);			
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

Dated: 1/8, 2001

Chun M. Ng Reg. No. 36,878

"Express Mail" mailing label number: <u>ET668479864US</u>
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Substitute for form 1449A/PTO				Con	Complete if Known			
(Modified by BSTZ 6/30/99)				Application Number	Not yet assigned			
INFORMATION DISCLOSURE				Filing Date	Herewith			
STATEMENT BY APPLICANT (use as many sheets as necessary)			CANT	First Named Inventor	Jesse Shan			
			essary)	Group Art Unit	Not yet assigned			
				Examiner Name	Not yet assigned			
Sheet	1	of	1	Attorney Docket Number	n/a	,		
		<u> </u>	U	.S. PATENT DOCUMENTS				
Examiner Initials *	U.S.Patent Document Number 5,107,538		- Na	me of Patentee or Applicant	Date of Publication of Cited Document	Filing Date if Appropriate		
			1	of Cited Document	MM-DD-YYYY			
			Benton et al	•	4/21/1992			
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U.S. PATENT DOCUMENTS					
Examiner Initials *	U.S.Patent Document	Name of Patentee or Applicant	Date of Publication of Cited Document	Filing Date if Appropriate	
	Number	of Cited Document	MM-DD-YYYY		
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	FOREIGN PATENT DOCUMENTS						
Examiner	Foreign Patent Document			Name of Patentee or Applicant	Date of Publication of	Date of Publication of Translation?	
Initials *	Office or Country	Number	Date	of Cited Document	Cited Document MM-DD-YYYY	Yes/No	
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	OTHER DOCUMENTS	
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